

Monochromatic configurations in finite colorings of \mathbb{N} - a dynamical approach

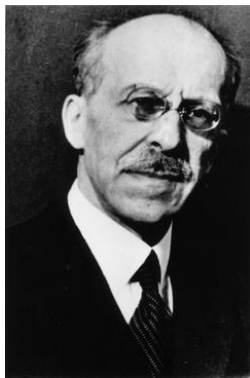
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For any finite coloring $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$ there exists $C \in \{C_1, \dots, C_r\}$ and $x, y \in \mathbb{N}$ such that $\{x, y, x + y\} \subset C$.



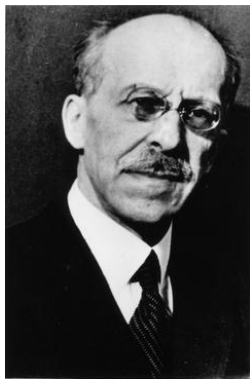
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Theorem (Schur, again)

For every r there exists $N \in \mathbb{N}$ such that for every partition $\{1, \dots, N\} = C_1 \cup \dots \cup C_r$ there exist $C \in \{C_1, \dots, C_r\}$ and $x, y \in \{1, \dots, N\}$ such that $\{x, y, x + y\} \subset C$.

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Schur used this to show that any large enough finite field contains nontrivial solutions to Fermat's equation $x^n + y^n = z^n$.

Theorem (van der Waerden)

For every $k \in \mathbb{N}$ and any finite partition $\mathbb{N} = C_1 \cup \dots \cup C_r$, there exist $C \in \{C_1, \dots, C_r\}$ and $x, y \in \mathbb{N}$ such that

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Problem

Let $f_1, \dots, f_k : \mathbb{N}^m \rightarrow \mathbb{N}$. Under what conditions is it true that for any finite coloring $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$ there exist $C \in \{C_1, \dots, C_r\}$ and $\mathbf{x} \in \mathbb{N}^m$ such that $\{f_1(\mathbf{x}), \dots, f_k(\mathbf{x})\} \subset C$?

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We say that $\{f_1(\mathbf{x}), \dots, f_k(\mathbf{x})\}$ is a **partition regular configuration**.

Theorem (Folkman-Sanders-Rado)

For every $m \in \mathbb{N}$ and any finite coloring $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$ there exist $C \in \{C_1, \dots, C_r\}$ and $x_0, \dots, x_m \in \mathbb{N}$ such that

$$\left\{ \begin{array}{l} x_0 \\ x_1, \quad x_1 + x_0 \end{array} \right.$$

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$$\left\{ \begin{array}{ccccccc} x_0 & & & & & & \\ x_1, & x_1 + x_0 & & & & & \\ x_2, & x_2 + x_1, & x_2 + x_0 & & & & \\ & & & x_2 + x_1 + x_0 & & & \end{array} \right.$$

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In fact **all** partition regular linear configurations are contained in Deuber's theorem.

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In fact **all** partition regular linear configurations are contained in Deuber's theorem.

The complete classification of partition regular linear configurations was first obtained by R. Rado in 1933 using a different language.

For every finite coloring $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$ there exist $C \in \{C_1, \dots, C_r\}$ and $x, y \in \mathbb{N}$ such that:

Theorem (Furstenberg-Sárközy)

$$\{x, x + y^2\} \subset C.$$

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Bergelson and Leibman extended this to

Theorem (Polynomial van der Waerden theorem)

Let $f_1, \dots, f_k \in \mathbb{Z}[x]$ be polynomials such that $f_i(0) = 0$ for all $i = 1, \dots, k$. Then for any finite coloring of $\mathbb{N} = C_1 \cup \dots \cup C_r$ there exist a color $C \in \{C_1, \dots, C_r\}$ and $x, y \in \mathbb{N}$ such that

$$\{x, x + f_1(y), x + f_2(y), \dots, x + f_k(y)\} \subset C$$

H. Furstenberg, J. d'Analyse Math., 1977

A. Sárközy, Acta Math. Acad. Sci. Hungar., 1978

V. Bergelson and A. Leibman, , 1996

Theorem (Bergelson-Johnson-M.)

Let $m, c \in \mathbb{N}$ and, for each $i = 1, 2, \dots, m$, let F_i be a finite set of polynomials $f : \mathbb{Z}^i \rightarrow \mathbb{Z}$ such that $f(\mathbf{0}) = 0$. Then for any finite coloring $\mathbb{N} = C_1 \cup \dots \cup C_r$ there exists a color $C \in \{C_1, \dots, C_r\}$ and $x_0, \dots, x_m \in \mathbb{N}$ such that

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This is a joint extension of the polynomial van der Waerden theorem and Folkman's theorem. It contains Deuber's theorem as a special case.

Conjecture

For every finite coloring $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$ there exist $C \in \{C_1, \dots, C_r\}$ and $x, y, z \in C$ such that $x^2 + y^2 = z^2$.

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- ▶ The conjecture has been established when $r = 2$, but the proof relies (heavily) on the use of a computer.
- ▶ The conjecture is equivalent to ask if the configuration $\{2kmn, k(m^2 - n^2), k(m^2 + n^2)\}$ is partition regular.
- ▶ The conjecture is equivalent to ask if any multiplicatively syndetic set contains a Pythagorean triple.

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- ▶ A variation on Hindman's method gives $x = x'$.

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Theorem (M.)

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Theorem (M.)

Let $s \in \mathbb{N}$ and, for each $i = 1, \dots, s$, let $F_i \subset \mathbb{Z}[x_1, \dots, x_i]$ be a finite set of polynomials such that with 0 constant term.

Then for any finite coloring $\mathbb{N} = C_1 \cup \dots \cup C_r$ there exist $C \in \{C_1, \dots, C_r\}$ and $x_0, \dots, x_s \in \mathbb{N}$ such that for every $i, j \in \mathbb{Z}$ with $0 \leq j < i \leq s$ and every $f \in F_{i-j}$ we have

$$x_0 \cdots x_j + f(x_{j+1}, \dots, x_i) \in C$$

Corollary

For every finite coloring $\mathbb{N} = C_1 \cup C_2 \cup \dots \cup C_r$ there exist $C \in \{C_1, \dots, C_r\}$ and $x, y, z, t, w \in \mathbb{N}$ such that

$$\left\{ \begin{array}{l} x \\ xy, \quad x + y \\ xyz, \quad x + yz, \quad xy + z \\ xyzt, \quad x + yzt, \quad xy + zt, \quad xyz + t \\ xyztw, \quad x + yztw, \quad xy + ztw, \quad xyz + tw \quad xyzt + w \end{array} \right\} \subset C$$

Corollary

Let $k \in \mathbb{N}$ and $c_1, \dots, c_k \in \mathbb{Z} \setminus \{0\}$ be such that $c_1 + \dots + c_k = 0$. Then for any finite coloring of \mathbb{N} there exist pairwise distinct $a_0, \dots, a_k \in \mathbb{N}$, all of the same color, such that

$$c_1 a_1^2 + \dots + c_k a_k^2 = a_0.$$

In particular, there exist $x, y, z \in C$ such that $x^2 - y^2 = z$.

- ▶ Given $E \subset \mathbb{N}$, its upper density is

$$\bar{d}(E) := \limsup_{N \rightarrow \infty} \frac{|E \cap \{1, \dots, N\}|}{N}$$

- ▶ Upper density is shift invariant: $\bar{d}(E - n) = \bar{d}(E)$ for all n .
- ▶ $\bar{d}(A \cup B) \leq \bar{d}(A) + \bar{d}(B)$.
- ▶ In particular, for any finite coloring $\mathbb{N} = C_1 \cup \dots \cup C_r$ some C_i has positive upper density.

A *measure preserving system* is a triple (X, μ, T) , where

- ▶ (X, μ) is a probability space.
- ▶ $T : X \rightarrow X$ preserves μ , i.e., for any (measurable) set $A \subset X$,

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Example

- ▶ Let $X = [0, 1]$, $\mu =$ Lebesgue measure, $T : x \mapsto x + \alpha \bmod 1$, for some $\alpha \in \mathbb{R}$.
- ▶ Let $X = [0, 1]$, $\mu =$ Lebesgue measure, $T : x \mapsto 2x \bmod 1$.

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Not quite an example: $X = \mathbb{N}$, $\mu = \bar{d}$ and $T : x \mapsto x + 1$.

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Theorem (Furstenberg Correspondence Principle)

Let $E \subset \mathbb{N}$. There exists a measure preserving system (X, μ, T) and a set $A \subset X$ such that $\mu(A) = \overline{d}(E)$ and

$$\overline{d}((E - n_1) \cap (E - n_2) \cap \cdots \cap (E - n_k)) \geq \mu(T^{-n_1}A \cap T^{-n_2}A \cap \cdots \cap T^{-n_k}A)$$

for any $n_1, \dots, n_k \in \mathbb{N}$.

Szemerédi's theorem follows from the correspondence principle together with:

Theorem (Furstenberg's multiple recurrence theorem)

Let (X, μ, T) be a measure preserving system and let $A \subset X$ with $\mu(A) > 0$. Then for every k

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mu(A \cap T^{-n}A \cap T^{-2n}A \cap \dots \cap T^{-kn}A) > 0$$

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Theorem (von Neumann's Ergodic Theorem)

Let (X, μ, T) be a measure preserving system and let $A \subset X$. Then

$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mu(A \cap T^{-n}A) \geq \mu(A)^2$$

Let $E \subset \mathbb{N}$ with $\bar{d}(E) > 0$.

►
$$\lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mu(A \cap T^{-n^2} A) \geq \mu(A)^2$$

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- ▶ For any $f \in \mathbb{Z}[x]$ with $f(1) = 0$,

$$\lim_{N \rightarrow \infty} \frac{1}{\pi(N)} \sum_{p \leq N} \mu(A \cap T^{-f(p)} A \cap \dots \cap T^{-kf(p)} A) > 0$$
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$$\{n + m, nm\} \subset C \iff m \in (C - n) \cap (C/n),$$

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Unfortunately, no such density exists on \mathbb{N} .

The semigroup generated by addition and multiplication – the semigroup of all affine transformations $x \mapsto ax + b$ with $a, b \in \mathbb{N}$ – is not *amenable*.

- Denote by $\mathcal{A}_{\mathbb{Q}}$ the group of all affine transformations of \mathbb{Q} :

$$\mathcal{A}_{\mathbb{Q}} := \{x \mapsto ax + b : a, b \in \mathbb{Q}, a \neq 0\}$$

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Proposition

There exists an upper density $\bar{d} : \mathcal{P}(\mathbb{Q}) \rightarrow [0, 1]$ which is invariant under both addition and multiplication, i.e.,

$$\bar{d}(E) = \bar{d}(E - x) = \bar{d}(E/x).$$

Equivalently, there exists a sequence $(F_N)_{N \in \mathbb{N}}$ of finite subsets of \mathbb{Q} such that for every $x \in \mathbb{Q} \setminus \{0\}$,

$$\lim_{N \rightarrow \infty} \frac{|F_N \cap (F_N + x)|}{|F_N|} = \lim_{N \rightarrow \infty} \frac{|F_N \cap (F_N x)|}{|F_N|} = 1$$



Vitaly Bergelson

Theorem (Bergelson, M.)

If $C \subset \mathbb{Q}$ has $\bar{d}(C) > 0$, then there exist

- ▶ “many” $x, y \in \mathbb{Q}$ such that $\{x + y, xy\} \subset C$;



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The proofs have three ingredients:

- ▶ The existence of a doubly invariant upper density \bar{d} ,
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Theorem (Bergelson, M.)

Let $E \subset \mathbb{Q}$ and assume that $\bar{d}(E) > 0$. Then

$$\lim_{N \rightarrow \infty} \frac{1}{|F_N|} \sum_{x \in F_N} \bar{d}\left((E - x) \cap (E/x)\right) > 0$$

For $u \in \mathbb{Q}$, let $M_u : x \mapsto ux$ and $A_u : x \mapsto u + x$.

Theorem (Bergelson, M.)

Let $(U_g)_{g \in \mathcal{A}_{\mathbb{Q}}}$ be a unitary representation of $\mathcal{A}_{\mathbb{Q}}$ on a Hilbert space H with no fixed vectors. Then for every $f \in H$,

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- Let $\Delta_h^A g(u) = g(u)^{-1} g(u+h)$ and $\Delta_h^M g(u) = g(u)^{-1} g(uh)$.

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- ▶ Let $\Delta_h^A g(u) = g(u)^{-1} g(u+h)$ and $\Delta_h^M g(u) = g(u)^{-1} g(uh)$.
- ▶ We have that for all $h, \tilde{h} \in \mathbb{Q}$,

$$\Delta_h^A \Delta_{\tilde{h}}^M g \text{ is constant!}$$

Let $\mathcal{A}_{\mathbb{N}}^- := \{x \mapsto ax + b : a \in \mathbb{N}, b \in \mathbb{Z}\}$.

Theorem (A topological correspondence principle)

There exists an $\mathcal{A}_{\mathbb{N}}^-$ -topological system $(X, (T_g)_{g \in \mathcal{A}_{\mathbb{N}}^-})$ with a dense set of additively minimal points, such that each map $T_g : X \rightarrow X$ is open and injective, and with the property that for any finite coloring $\mathbb{N} = C_1 \cup \dots \cup C_r$ there exists an open cover $X = U_1 \cup \dots \cup U_r$ such that for any $g_1, \dots, g_k \in \mathcal{A}_{\mathbb{N}}^-$ and $t \in \{1, \dots, r\}$,

$$\bigcap_{\ell=1}^k T_{g_\ell}(U_t) \neq \emptyset \quad \implies \quad \mathbb{N} \cap \bigcap_{\ell=1}^k g_\ell(C_t) \neq \emptyset$$

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- In particular, if $A_y^{-1}U_t \cap M_y^{-1}U_t \neq \emptyset$, then $C_t \supset \{x + y, xy\}$ for some x , where $A_y : x \mapsto x + y$ and $M_y : x \mapsto xy$.

Theorem

For every “nice” topological system $(X, (T_g)_{g \in A_{\mathbb{N}}^-})$ and every open cover $X = U_1 \cup U_2 \cup \cdots \cup U_r$ there exist $U \in \{U_1, \dots, U_r\}$ and $y \in \mathbb{N}$ such that

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Idea

Find a sequence B_1, B_2, \dots of non-empty sets such that

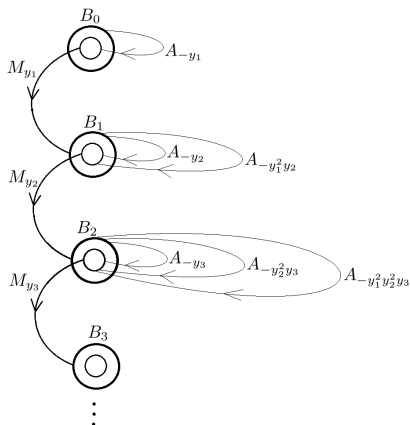
- ▶ Each B_i is contained in a single color U_j ;
- ▶ For every $i < j$ there is $y \in \mathbb{N}$ such that $B_j \subset M_y A_{-y}(B_i)$

We want to find a sequence B_1, B_2, \dots of non-empty sets such that

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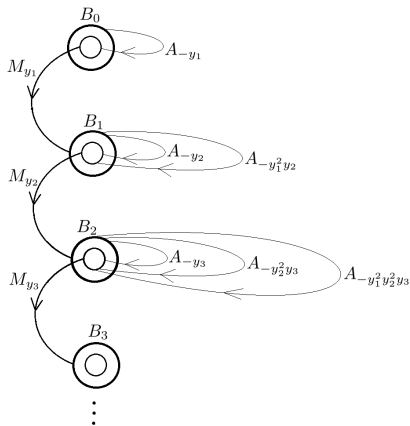
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To run the iterative construction we use the following version of van der Waerden's theorem:

Theorem

Let $(X, (T_g)_{g \in \mathcal{A}_{\mathbb{N}}^-})$ be a “nice” topological system and $B \subset X$ open and non-empty. Then for every $k \in \mathbb{N}$ there exists $y \in \mathbb{N}$ such that

$$B \cap A_{-y} B \cap A_{-2y} B \cap \dots \cap A_{-ky} B \neq \emptyset$$

Questions?